

SARASWAT EDUCATION SOCIETY'S
SRIDORA CACULO COLLEGE OF COMMERCE AND MANAGEMENT STUDIES
KHORLIM, MAPUSA GOA

S.Y.B.COM THIRD SEMESTER END EXAMINATION NOVEMBER, 2023

Subject – Indian Capital Markets

[Duration: 2 hours]

[Max Marks: 80]

[W.E.F 2018-19) (CBCS)

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- Instructions: 1) All questions are compulsory, however internal choices is available
2) Answer question no.1 and 2 in not more than 200 words
3) Figures to the right indicate maximum marks to the questions/sub questions

Q.1. Write a short note on **any four** of the following. (4x4=16)

- a. Book building process
- b. Classification of merchant banks by SEBI
- c. Government Securities Market
- d. Issuers in primary market
- e. Functions of new issue market
- f. Role of securities market.

Q.2. Write a short note on **any four** of the following. (4x4=16)

- a. Hedging through future option
- b. Investor Rights and Obligation
- c. Pull Call Ratio
- d. Internet trading.
- e. National Stock Exchange of India.
- f. Functions of derivatives market.

Q.3.X Explain the key measures implemented by SEBI for investor protection. (12)

OR

Y. Elucidate various reforms in the Indian capital market. (12)

Q.4.X State and explain the responsibilities of a merchant banker in issue management in the Indian capital market. (12)

OR

Y. Explain in detail the steps involved in an Initial Public Offering (IPO). (12)

Q.5.X Explain the role of a clearing house in financial markets. (12)

OR

Y. Explain the steps involved in the trading and settlement mechanism within the Indian Stock Exchange, (12)

Q.6.X State and explain the significance of various participants in the derivatives market and justify their roles in managing risk. (12)

OR

Y. Explain the structure of the derivative market. (12)

*****THE END*****