

SARASWAT EDUCATION SOCIETY'S
SRIDORA CACULO COLLEGE OF COMMERCE AND MANAGEMENT STUDIES
KHORLIM, MAPUSA GOA

S.Y.B.COM THIRD SEMESTER END EXAMINATION NOVEMBER, 2023

Subject – Indian Capital Markets

[Duration: 2 hours]

[Max Marks: 80]

(W.E.F 2018-19) (CBCS)

Total No. of Printed Page: 01

- Instructions: 1) All questions are compulsory, however internal choices is available
2) Answer question no.1 and 2 in not more than 200 words
3) Figures to the right indicate maximum marks to the questions/sub questions

- Q.1. Write a short note on **any four** of the following. (4x4=16)
a. Book building process
b. Classification of merchant banks by SEBI
c. Government Securities Market
d. Issuers in primary market
e. Functions of new issue market
f. Role of securities market.
- Q.2. Write a short note on **any four** of the following. (4x4=16)
a. Hedging through future option
b. Investor Rights and Obligation
c. Pull Call Ratio
d. Internet trading.
e. National Stock Exchange of India.
f. Functions of derivatives market.
- Q.3.X Explain the key measures implemented by SEBI for investor protection. (12)
OR
Y. Elucidate various reforms in the Indian capital market. (12)
- Q.4.X State and explain the responsibilities of a merchant banker in issue management in the Indian capital market. (12)
OR
Y. Explain in detail the steps involved in an Initial Public Offering (IPO). (12)
- Q.5.X Explain the role of a clearing house in financial markets. (12)
OR
Y. Explain the steps involved in the trading and settlement mechanism within the Indian Stock Exchange, (12)
- Q.6.X State and explain the significance of various participants in the derivatives market and justify their roles in managing risk. (12)
OR
Y. Explain the structure of the derivative market. (12)

*****THE END*****